

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----	X	
	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 55388, 55389,
	:	55390, 55391, 55394
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 1, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated June 1, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
2<sup>nd</sup> day of June, 2017

/s/ Diane M. Streany

Notary Public, State of New York  
No. 01ST5003825  
Qualified in the Westchester County  
Commission Expires November 2, 2018

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

J.P. MORGAN SECURITIES LLC  
TRANSFEROR: THIRD POINT LOAN LLC  
ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054  
4 NEW YORK PLAZA, FLOOR 15  
NEW YORK, NY 10004

Please note that your claim # 55813-26 in the above referenced case and in the amount of  
\$30,000.00 allowed at \$30,000.00 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000120451517 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000178531



BKM HOLDINGS (CAYMAN) LTD.  
TRANSFEROR: J.P. MORGAN SECURITIES LLC  
C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT  
ATTN: JENNIFER DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 55391 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/01/2017 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 1, 2017.

## **EXHIBIT B**

Claim Name	Address Information
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CLARIDEN LEU LTD, LEGAL PRODUCTS & SERVICES, PO BOX, ZURICH CH-8010 SWITZERLAND
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: J.P. MORGAN SECURITIES LLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: KING STREET ACQUISITION COMPANY, LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, 4 NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, 4 NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY-E054, 4 NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, 4 NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E05, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004

Claim Name	Address Information
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E05, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY-E054, 4 NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E05, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E054, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E05, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
J.P. MORGAN SECURITIES LLC	TRANSFEROR: THIRD POINT LOAN LLC, ATTN: JEFFREY L. PANZON MAIL CODE NY1-E05, NEW YORK PLAZA, FLOOR 15, NEW YORK, NY 10004
REGIOBANK SOLOTHURN AG	TRANSFEROR: UBS AG, C/O FINANZ-LOGISTIK AG, ATTN: MARCEL LEDERGERBER, ROSENBERGSTRASSE 16, ST. GALLEN 9004 SWITZERLAND
REGIOBANK SOLOTHURN AG	TRANSFEROR: UBS AG, C/O FINANZ-LOGISTIK AG, ATTN: MARCEL LEDERGERBER, ROSENBERGSTRASSE 16, ST. GALLEN 9004 SWITZERLAND
REGIOBANK SOLOTHURN AG	TRANSFEROR: UBS AG, C/O FINANZ-LOGISTIK AG, ATTN: MARCEL LEDERGERBER, ROSENBERGSTRASSE 16, ST. GALLEN 9004 SWITZERLAND
REGIOBANK SOLOTHURN AG	TRANSFEROR: UBS AG, C/O FINANZ-LOGISTIK AG, ATTN: MARCEL LEDERGERBER, ROSENBERGSTRASSE 16, ST. GALLEN 9004 SWITZERLAND
SOLUS OPPORTUNITIES FUND 1 LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., C/O SOLUS ALTERNATIVE ASSET MANAGEMENT, 410 PARK AVENUE, 11TH FLOOR, NEW YORK, NY 10022
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UBS AG	TRANSFEROR: RBS COUTTS BANK AG, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND
UBS AG	TRANSFEROR: RBS COUTTS BANK AG, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND
UBS AG	TRANSFEROR: RBS COUTTS BANK AG, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND
VAN LANSCHOT	TRANSFEROR: BANK JULIUS BAER & CO. LTD.,

<b>Total Creditor Count 39</b>
--------------------------------